Research Article

Malocclusion and Orthodontic Treatment Need among High School Students in Mangalore City, India

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Abstract

Malocclusion has great impact on society and the individual in terms of social and functional limitations, psychological trauma and discomfort. The Dental Aesthetic Index (DAI) is a WHO-recommended method of screening for dentofacial anomalies and orthodontic treatment need. This survey was conducted to determine the prevalence of malocclusion and orthodontic treatment need among high school students in Mangalore city, Karnataka State, India. List of schools in Mangalore city was obtained from the Block Educational Officer. After obtaining consent from the relevant authorities, four schools were selected by random sampling (lottery method). In these schools, all students who fulfilled the inclusion criteria were examined with the help of a recorder. A proforma was used to record
demographic data (name of the student and school, age, gender), and the criteria of the DAI for each subject. Data were analyzed using the Chi squared test and a p-value of $< 0.05$ was considered statistically significant. Study subjects numbered 422 and ranged in age from 11-18 years. According to the DAI criteria, 66.1% subjects had no abnormality or minor malocclusion (requiring little or no orthodontic treatment), 22.3% had definite malocclusion (in whom orthodontic treatment was an elective option), 9.9% presented with severe malocclusion (with orthodontic treatment being highly desirable) and 1.7% had very severe or handicapping malocclusion (requiring mandatory orthodontic treatment). Malocclusion and orthodontic treatment need were found to be present in 33.9% of the population surveyed. These data will help in planning and executing appropriate measures to overcome this oral condition.
Keywords: Adolescents, Dental Aesthetic Index, malocclusion, orthodontic treatment need.
Introduction

Good dental appearance is often equated with success in many social aspects, and an increased concern for dental appearance has been observed during adolescence (Shivakumar et al., 2009). Normal occlusion is essential for optimal oral function and the longevity of oro-dental health (Gauba et al., 1998).

Malocclusion has been described as a malrelationship between the maxillary and mandibular arches in any plane or a malposition of teeth beyond normal limits (Shivakumar et al., 2009; Tak et al., 2013). Although not life-threatening, it is the third most prevalent oral pathology, after tooth decay and periodontal disease, and therefore a public health priority (Tak et al., 2013). Those affected by malocclusion may experience a
diminished self-image, affecting social and career opportunities (Cavalcanti et al., 2013; Shivakumar et al., 2009). Malocclusion has not been as thoroughly investigated as dental caries and periodontal disease, probably because the pain and misery caused by this disorder are seldom acute (Gauba et al., 1998; Shivakumar et al., 2009) but it has a greater impact on the individual in terms of social and functional limitations, psychological trauma (quality of life) and discomfort (Cavalcanti et al., 2013; Tak et al., 2013). Malocclusion affects oral health by leading to an increased prevalence of dental caries, and can cause gingival inflammation and temporomandibular joint disorders (Antunes et al., 2008; Shivakumar et al., 2009; Tak et al., 2013).
Assessment of orthodontic treatment need is a necessity for planning preventive and therapeutic orthodontic services for any population (Aikins et al., 2011; Ajayi, 2008; Tak et al., 2013).

The WHO has recommended the Dental Aesthetic Index (DAI) as a method of assessing dentofacial anomalies. The DAI, a cross-cultural index focused on socially defined dental aesthetics, is relatively simple, reproducible and valid, and a practical tool for screening orthodontic treatment need (Cavalcanti et al., 2013; Shivakumar et al., 2009; Tak et al., 2013).

Data are unavailable on prevalence of malocclusion (according to the DAI criteria) among high school students in Mangalore city. This study tries to fill that lacuna, and was carried out with the aim of assessing the prevalence of malocclusion and orthodontic
treatment need among high school students in Mangalore city, Karnataka State, India.

Material and Methods

Subjects: A cross-sectional survey was conducted for a four-month period (November 2012 to February 2013). List of high schools in Mangalore city was obtained from the office of the Block Educational Officer, Mangalore City Range. The total number of schools numbered 70. From this list, four schools were selected by simple random sampling (lottery method). Permission to carry out the study was obtained from the Block Educational Officer, authorities in the selected schools and the Yenepoya University Ethics Committee.
**Data Collection:** A proforma was used to record demographic data (name of the student and school, age and gender) and the criteria of the DAI for each subject. In the selected high schools, all students who fulfilled the following inclusion criteria were examined: (a) male and female children present in school on the day of the survey, (b) with full complement of permanent teeth as per age, and (c) consenting to participate in the survey. Those who had undergone or were undergoing orthodontic treatment were excluded. Informed assent was obtained from all participants.

The subjects were examined by the investigator with the help of a recording clerk at their respective schools. Oral examinations were performed under adequate natural light using plane mouth mirrors and WHO Periodontal Probes, with subjects seated on
chairs in classrooms or school corridors. The subjects were positioned to receive maximum illumination (sunlight) without any discomfort to the subject or investigator. The investigator underwent training, and calibration prior to the initiation of data collection and the kappa value for intra-examiner reliability were found to be 0.92.

The DAI comprises the following components: missing anterior teeth, crowding and spacing in the incisal segments, diastema, largest anterior maxillary and mandibular irregularity, anterior maxillary and mandibular overjet, vertical anterior open bite, and antero-posterior molar relation. The standard DAI score is calculated using the regression equation: (missing visible teeth x 6) + crowding + spacing + (diastema x 3) + largest anterior maxillary irregularity + largest anterior mandibular irregularity +
(anterior maxillary overjet x 2) + (anterior mandibular overjet x 4) + (vertical anterior open bite x 4) + (antero-posterior molar relation x 3) + 13 (WHO, 1997). Based on their DAI score, subjects were assigned to one of four categories suggesting an increasing grade of severity of malocclusion and the treatment indication as shown in Table 1.

Table 1: Distribution of Standard DAI Scores and the Treatment Indication

<table>
<thead>
<tr>
<th>DAI score</th>
<th>Severity of malocclusion</th>
<th>Treatment indication</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt; 25</td>
<td>No abnormality or minor malocclusion</td>
<td>No or slight need</td>
</tr>
<tr>
<td>26 – 30</td>
<td>Definite malocclusion</td>
<td>Elective</td>
</tr>
<tr>
<td>31 – 35</td>
<td>Severe malocclusion</td>
<td>Highly desirable</td>
</tr>
<tr>
<td>≥ 36</td>
<td>Very severe or handicapping malocclusion</td>
<td>Mandatory</td>
</tr>
</tbody>
</table>
**Statistical Analysis:** Data were analyzed using the Statistical Package for Social Sciences (SPSS) Version 17.0 program for Windows. Descriptive statistics used included calculation of means and standard deviations. Differences in proportions between the different age groups and genders were compared using the Chi squared test, and a p-value of < 0.05 was considered statistically significant.

**Results**

The total number of study subjects, who consented to participate and were examined, numbered 422. Twelve subjects had undergone or were undergoing orthodontic treatment and were excluded. They comprised 276 males (65.4%) and 146 females (34.6%), and ranged in age from 11 - 18 years (mean age 14.03 ±
1.19 years). Table 2 displays the distribution of study subjects based on age and gender.

**Table 2: Distribution of the Study Subjects Based on Age and Gender**

<table>
<thead>
<tr>
<th>Age (in years)</th>
<th>Males n (%)</th>
<th>Females n (%)</th>
<th>Total n (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>11</td>
<td>01 (0.4%)</td>
<td>00 (0.0%)</td>
<td>01 (0.2%)</td>
</tr>
<tr>
<td>12</td>
<td>20 (7.2%)</td>
<td>16 (10.9%)</td>
<td>36 (8.5%)</td>
</tr>
<tr>
<td>13</td>
<td>78 (28.3%)</td>
<td>32 (21.9%)</td>
<td>110 (26.1%)</td>
</tr>
<tr>
<td>14</td>
<td>79 (28.6%)</td>
<td>48 (32.9%)</td>
<td>127 (30.1%)</td>
</tr>
<tr>
<td>15</td>
<td>60 (21.7%)</td>
<td>40 (27.4%)</td>
<td>100 (23.8%)</td>
</tr>
<tr>
<td>16</td>
<td>32 (11.6%)</td>
<td>09 (6.2%)</td>
<td>41 (9.7%)</td>
</tr>
<tr>
<td>17</td>
<td>05 (1.8%)</td>
<td>01 (0.7%)</td>
<td>06 (1.4%)</td>
</tr>
<tr>
<td>18</td>
<td>01 (0.4%)</td>
<td>00 (0.0%)</td>
<td>01 (0.2%)</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>276 (100%)</td>
<td>146 (100%)</td>
<td>422 (100%)</td>
</tr>
</tbody>
</table>

*(p = 0.0433)*
Table 3 shows the distribution of subjects according to their age, gender and DAI scores. Subjects with Scores 1, 2 and 3 were added together to calculate the prevalence of malocclusion for each age group. The prevalence of malocclusion was found to be as follows: 12 years – 47.2%, 13 years – 31.8%, 14 years – 35.4%, 15 years – 32.0%, 16 years – 31.7%, and 17 years – 16.7%. No significant differences were observed among the age groups with relation to the DAI scores (p = 0.551).

Table 3: Distribution of the Subjects According to Their Age, Gender and DAI Scores

Please See Table 3 in Full PDF Version
33.9% subjects presented with definite to very severe or handicapping malocclusion (Scores 1 to 3). The prevalence of malocclusion was found to be higher among females (36.3%) than males (32.5%). Overall distribution of subjects according to their gender and DAI scores is shown in Table 4.

Table 4: Distribution of the Subjects According to their Gender and DAI Scores

<table>
<thead>
<tr>
<th>DAI score</th>
<th>Males n (%)</th>
<th>Females n (%)</th>
<th>Total n (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>0 (&lt; 25)</td>
<td>186 (67.5%)</td>
<td>93 (63.7%)</td>
<td>279 (66.1%)</td>
</tr>
<tr>
<td>1 (26 – 30)</td>
<td>60 (21.7%)</td>
<td>34 (23.3%)</td>
<td>94 (22.3%)</td>
</tr>
<tr>
<td>2 (31 – 35)</td>
<td>28 (10.1%)</td>
<td>14 (9.6%)</td>
<td>42 (9.9%)</td>
</tr>
<tr>
<td>3 (≥ 36)</td>
<td>02 (0.7%)</td>
<td>05 (3.4%)</td>
<td>07 (1.7%)</td>
</tr>
<tr>
<td>Total</td>
<td>276 (100%)</td>
<td>146 (100%)</td>
<td>422 (100%)</td>
</tr>
</tbody>
</table>

(p = 0.369)
According to their DAI scores, the orthodontic treatment need of the study population was as follows: 66.1% subjects required little or no orthodontic treatment, while it was an elective option in 22.3% subjects; orthodontic treatment was highly desirable in the 9.9% presenting with severe malocclusion and mandatory in the 1.7% with very severe or handicapping malocclusion.

Discussion

With dental appearance, an increased concern among adolescents, malocclusion may impact the affected individuals by imposing social and functional limitations, psychological trauma and oral discomfort (Cavalcanti et al., 2013; Tak et al., 2013). Epidemiological data on disease prevalence are a prime requisite in planning health care for any population group. Data on the
prevalence of malocclusion (according to the DAI criteria) among high school students in Mangalore city were unavailable. Therefore, this study was carried out to assess prevalence of malocclusion and orthodontic treatment need among high school students in Mangalore city, India.

The study population was larger than studied by Ahammed et al (2013) and Babu and Gopu (2011); however, Aikins et al (2011), Ajayi (2008), Cavalcanti et al (2013), Dacosta (1999), Gábris et al (2006) and Tak et al (2013) evaluated larger population groups. Since the schools surveyed were selected by random sampling, the results of this study have good external validity.

The male predominance seen in this study population was mirrored in studies carried out on similar age groups in India
Definite to very severe or handicapping malocclusion (Scores 1 to 3) was present among 33.9% subjects. This finding was echoed by Gauba et al (1998) in their study on rural children in Ambala, India (29.2%) according to Angle’s classification system (1899) and Tak et al (2013) in 12-15-year-olds of Jaipur, India (33.3%) using the DAI. Surveys using the DAI criteria by Ahammed et al
Borzabadi-Farahani et al (2011) (21.9%), Shivakumar et al (2009) (19.9%) and Suma et al (2011) (17.2%), found lower levels of malocclusion but Babu and Gopu (2011) (71.6%), Cavalcanti et al (2013) (58.1%) and Gábris et al (2006) (70.4%) found a higher prevalence. Studies carried out in Nigeria using the Angle’s classification by Ajayi (2008) and Dacosta (1999), and the ICON by Aikins et al (2011) have found 84.1%, 87.8% and 38.1% prevalence, respectively.

The prevalence of malocclusion was found to be higher among females (36.3%) than males (32.5%). Similar findings were reported by Gauba et al (1998) and Suma et al (2011), while Ahammed et al (2013), Aikins et al (2011), Borzabadi-Farahani et al (2011), Shivakumar et al (2009) and Tak et al (2013) reported higher malocclusion scores among male subjects. However,
Borzabadi-Farahani et al (2011), Babu and Gopu (2011) and Cavalcanti et al (2013) found no correlation of treatment need with gender. As in this study, Shivakumar et al (2009) found that there were no significant differences in the DAI scores, either when evaluated for gender or age.

The surveys by Ahammed et al (2013), Aikins et al (2011) and Babu and Gopu (2011) found that the prevalence of malocclusion increased with age, while Tak et al (2013) reported a significant reduction in the severity of DAI scores with age. In this study, the prevalence of malocclusion in the different age groups ranged from 47.2% among 12-year-olds to 16.7% among 17-year-olds. The sole 11-year-old and 18-year-old male subjects presented with no orthodontic treatment need. Babu and Gopu (2011) found a prevalence of 67.5% among 13-year-olds, 76.3% among
14-year-olds and 84.6% among 15-year-olds, which was more than twice the values found in this investigation.

**Study Limitations:** Since this study was carried out to assess the prevalence of malocclusion and orthodontic treatment need (according to the DAI criteria) among high school students in Mangalore city about which data were unavailable, a limitation was that those who had undergone or were undergoing orthodontic treatment were excluded. This may lead to an underestimation of the actual treatment need of the population being studied.

The authors anticipate that this survey will pave the way for a large-scale epidemiological study to validate the findings and provide a clear picture of the existing situation. This data will also
help oral health care professionals plan and execute appropriate measures, such as dental screening, education and treatment programs, to overcome this oral condition.

**Conclusion**

Malocclusion and orthodontic treatment need were found to be present in 33.9% of the population surveyed. The prevalence of malocclusion was found to decrease with age.

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